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## 3 m Operating System Services

### OS Services:-

An OS provides services both to the programs and to the users. They are classified into two categories.

- \* User point of view
- \* System point of view

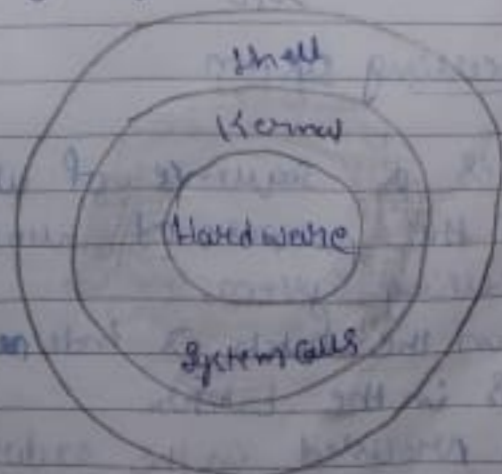
### User point of view

- \* User interface
- \* Program execution
- \* I/O operations.
- \* File system manipulation
- \* Error detection
- \* Communication

### System point of view

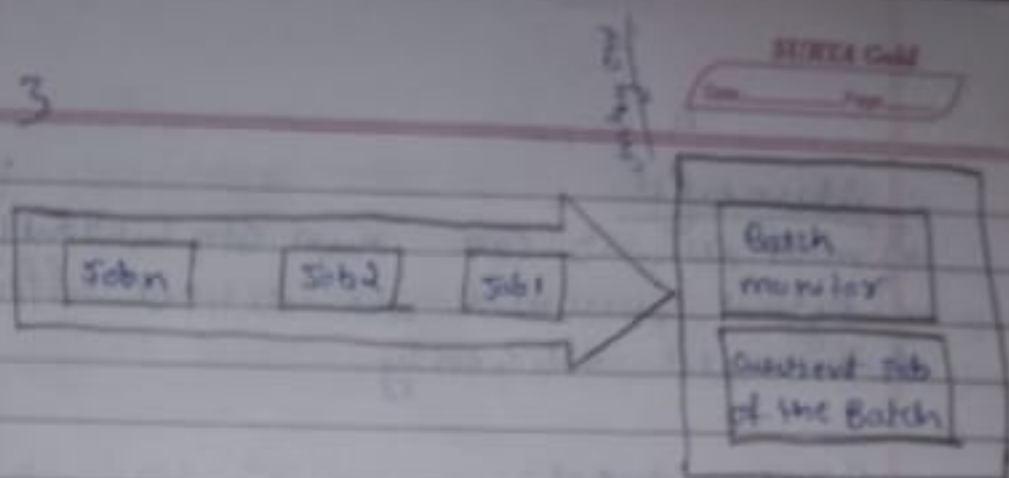
- \* Resource management
- \* Protection and security.
- \* Accounting.

## 3 Operating System Structure





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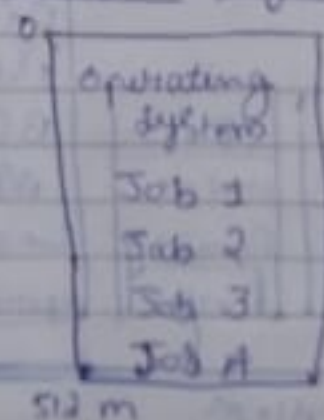
### Advantages:-

- 1) Simple scheduling
- 2) Simple mechanism for file management

### Disadvantages

- 1) execution time of a job is dependent on batch size
- 2) Some jobs can enter an infinite loop

### 2) multi programming system



multi programming is the rapid switching of CPU between multiple users in memory.

multi-programming organizes jobs in such way that CPU always has atleast one job to execute.

multiple jobs can run concurrently.

Operating system is divided into a number of layers, in which the lowest layer represents the hardware part and the highest layer represents the user interface part.

The two important layers of any OS are:-

- x) Kernel :- The core of the operating system is the kernel - the operating system program. It controls the computer's hardware, allowing them to perform work and to different parts. It interacts directly with the hardware.
- x) Shell :- The shell is a utility which acts as the command interpreter for the kernel and is the interface between the user and the kernel.

### Type of operating system

- 1) Batch processing system
- 2) Multi programming system
- 3) Time sharing system
- 4) Real time system

#### 1. Batch processing system

- x) A batch is a sequence of user jobs formed for the purpose of processing by a batch processing system.
- x) Each job in the batch is independent of other jobs in the batch.
- x) Jobs are processed in the order of submission.

Disadvantages

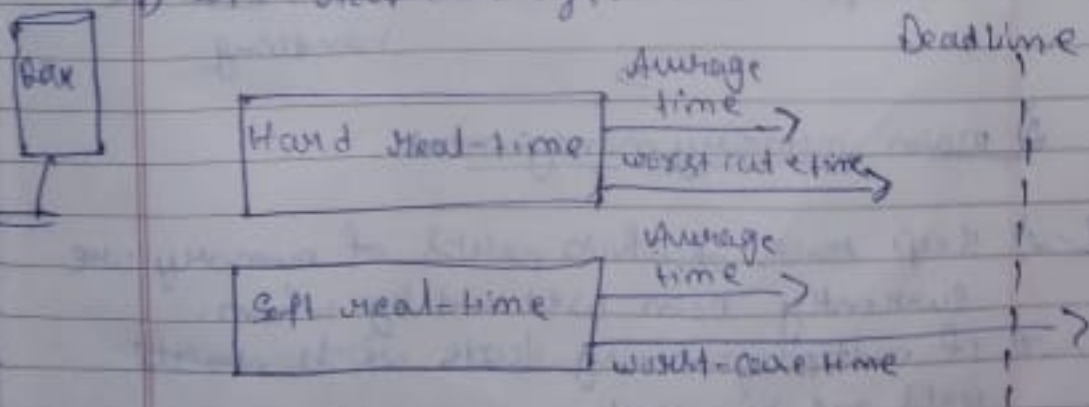
- \* more complex connected to multi programming
- \* Requires memory management and protection.

4) Real-time System

- \* A real time operating system is used when strict time requirements have been placed on the operation of a process or the flow of data.
- \* It is often used as a control device in a dedicated applications.

There are two types of real time operating system

- \* Hard real-time system
- \* Soft real-time system

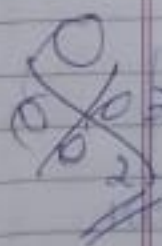
Advantages

- \* memory management in real-time systems is comparatively less demanding than in other types of operating systems.



Explanation

- 1) First the process is created ["new state"]  
It is loaded from the secondary storage device into a main memory after that the process scheduler assigns to the "waiting state".
- 2) when the process is in "waiting" it waits for the scheduler to do the context switch and load the process into the processor. The process is said to be in "ready state".
- 3) when the process becomes "running" and the processor executes the process instruction.
- 4) if the process needs to look for a resource, it is assigned to the "blocked state", when it is changed back to waiting. The process is no longer needs to wait.

Process Control Block

Process State
Process Number
Process Counter
Register
Memory Limit
List of open files
• • •

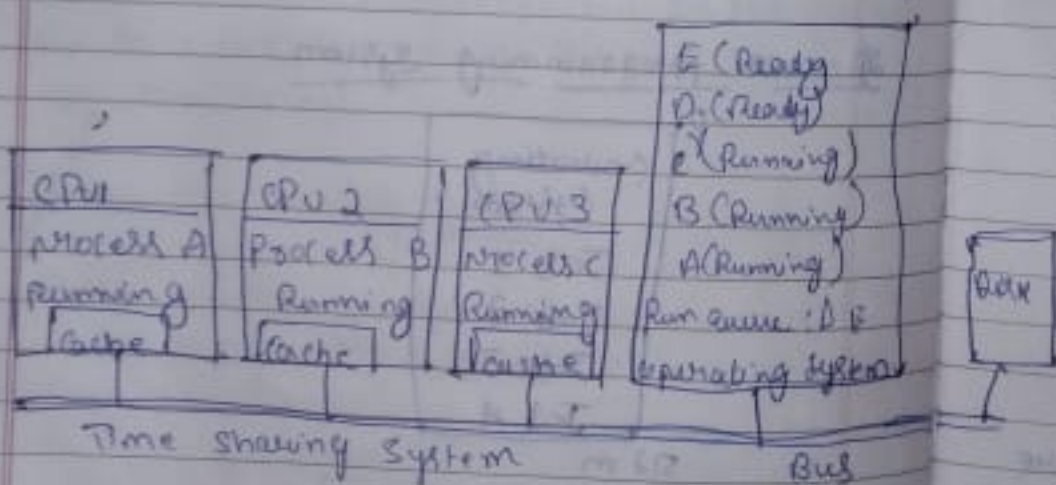
### Advantages:

- \* Multiple jobs can run concurrently
- \* System resources are utilized efficiently
- \* Increased efficiency.

### Disadvantages:

- \* User cannot interact with the job when it is executing
- \* Programmers cannot modify the programs at it are executing

### 3) Time sharing system



→ A time shared system allows many users to share the computer simultaneously

### Advantages:

- \* Allow many users to share the computer simultaneously
- \* User can interact with the job when it is executing
- \* CPU idle time is minimal.



\*) File management in real-time systems usually increase the speed of access

### Disadvantages of

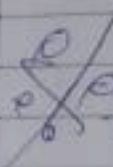
- \*) There is a time limit allocated for each request
- \*) Implementation is too costly.

### Operating System Components (Functions)

- 1) Process management
  - \*) The Creation and deletion of both user and system processes.
  - \*) The Suspension and resumption for process
  - \*) The provision of mechanisms for process synchronization
  - \*) The provision of mechanisms for process communication
  - \*) The provision of mechanisms for deadlock handling

### 2) Main memory management:

- 1) Keep track of which parts of memory are currently being used and by whom.
- \*) If multiprogramming, decide which process gets and how much.
- \*) Allocate and de-allocate memory space as needed.



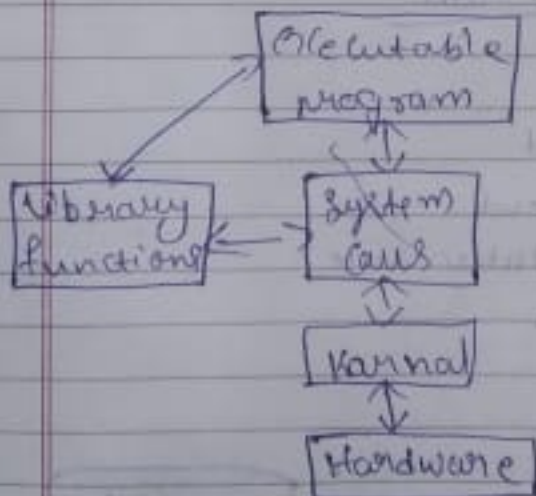


3. File management

- 3
  - \* Creation and deletion of files and directories
  - \* Support of primitives for manipulating files and directories
  - \* Mapping of files into secondary storage
  - \* Backup of files on stable storage media.

System Call: This provides an interface between process and the OS. The user program requests the OS to perform the tasks provided by the OS on behalf of the user.

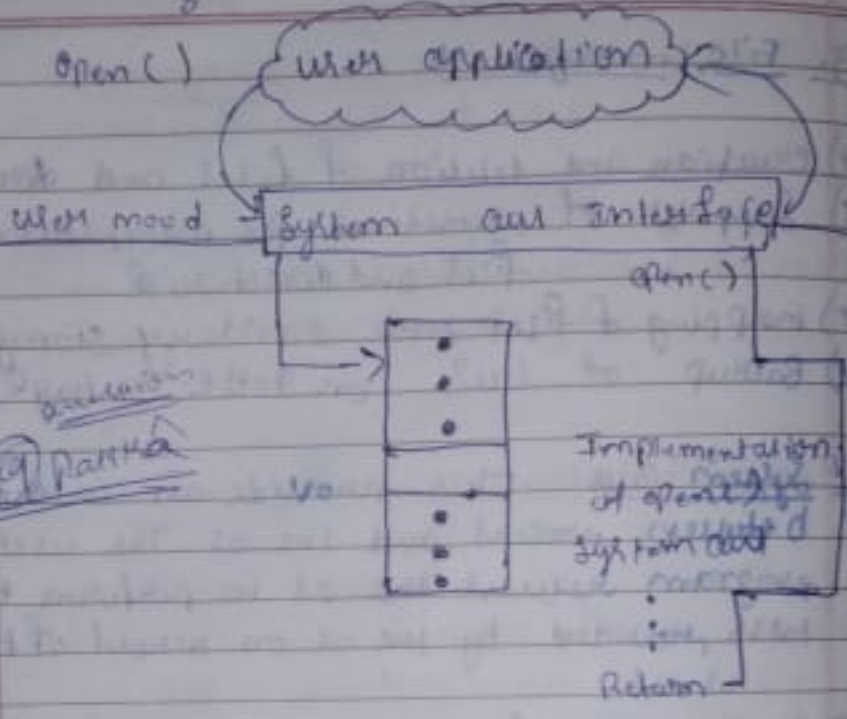
ex: process of writing a program to read data from 1 file and copying it to another



System call implementation:

2 Handling of a user application involving open() system call

Open()

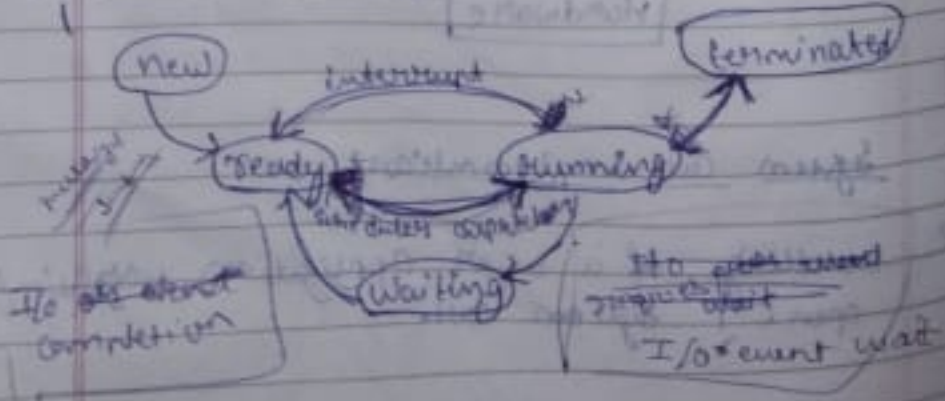


Partha

### Types of System Call

- 1) process control
- 2) File management
- 3) Device management
- 4) Information maintenance
- 5) Communication

### State of process





Each process is implemented in the operating system by a PCB (process control block) also known as task control block (TCB).

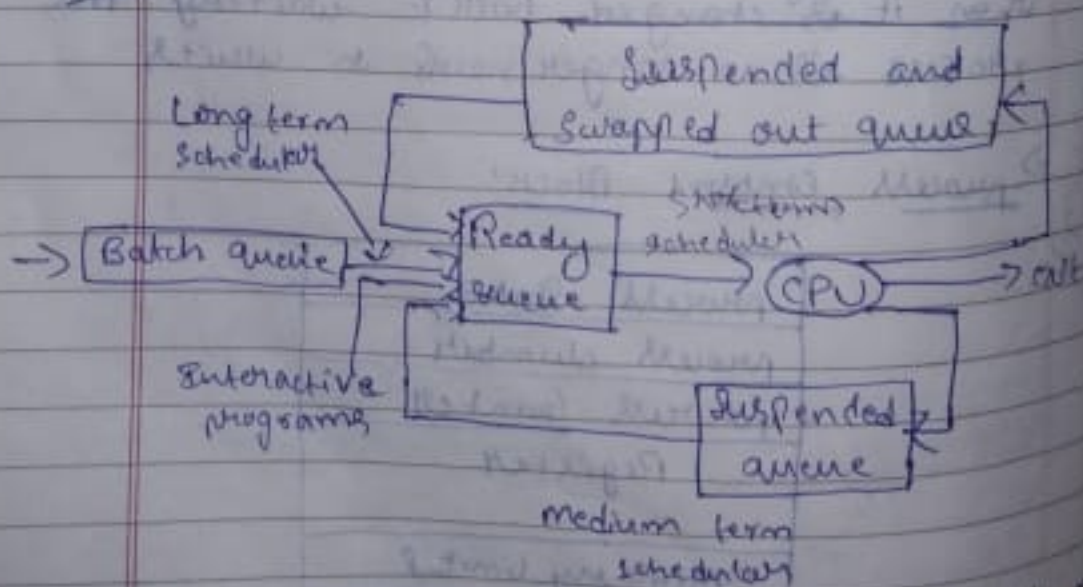
The information stored in the PCB has 3 categories:

- 1) process identification PIP
- 2) process state information PPSI
- 3) process control information PPCI

### Process identification information

- PID** process id (PID) or process number - It is a numeric identifier for the process.  
**PPID** parent process id (PPID) - Identifier of the parent process.  
**UID** - identifies the user who is responsible for creation of the process.

### Schedulers:



The selection process is carried out by appropriate scheduler. There are 3 types

- a) The long term scheduler
- b) the medium term scheduler
- c) The short term scheduler

-7. Context switch :- when an interrupt occurs the system needs to save the current context of the process currently running on the CPU so that it can restore that context when its processing is done, essentially suspending the process and then the resuming it.

2. Dispatcher :- It is a module that gives control to the CPU to the process selected by the short-term scheduler. The function involved

- a) switching involved in context
- b) switching to user mode.
- c) Jumping to the program location in the user program to restart that program.

Thread (connectivity)

A thread is a basic unit of CPU utilization. It comprises a thread ID, a program, a counter, a register set and a stack.

There are two types

\* 1) Single threaded process.

2) Multi threaded process.



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## Scheduling Criteria

- 1) CPU utilization: It may range from 0% to 100%. In a real system, it will range from 40% (for a lightly loaded system) to 90% for a heavily used system.
- 2) Throughput: If the CPU is busy executing process, then work is being done. One measure of work is the number of processes that are completed per time unit call throughput.
- 3) Turn around time: For any process, the important criterion is how long it takes to execute that process. The interval from the time of submission of a process to the time of completion is the turn around time.  
 Turn around time = waiting time + executing time.
- 4) Waiting time: It is the sum of the periods spent waiting in the ready queue.
- 5) Response time: It is defined as the time from the submission of a request until the 1st response is produced.

## Semaphore

wait                      signal

SURYA Gold

Date \_\_\_\_\_ Page \_\_\_\_\_

- 1) Each process must request permission to enter its Critical Section
- 2) The section of code implementing this request is entry Section
- 3) The Critical section is followed by an exit Section
- 4) The remaining code is remainder section.

Semaphore: A semaphore  $S$  is an integer variable, in a non-negative value, which can be used only through 2 standard indivisible (atomic) operations:  $wait()$  and  $signal()$

$wait()$ :  $wait$  is repeated by  $P$ . The operation is implemented to acquire a resource.

$wait(S)$

{

while ( $S < 0$ ) do no-op;

$S--$ ;

}

$signal()$ : when the semaphore is incremented we go to this operation

$signal(S)$

{

$S++$

}

The  $wait()$  and the  $signal()$  operations must be executed individually. It increments the value of the semaphore  $S$ . It is used to release a resource.



Long term scheduler: The process in batch systems cannot be executed immediately and hence are spooled to the disk and kept for later execution. The long term scheduler selects the batch job from the queue.

medium term scheduler: During the execution of jobs priority wise low jobs will be suspended and cannot be executed till it is removed from suspension condition.

short term scheduler: It allocates the processors among the pool of ready processes resident in memory. It is in charge of ready to running state transition.

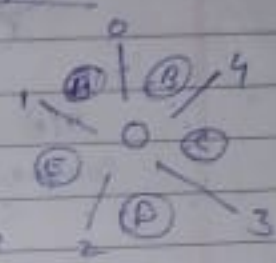
∴ D/F B/w Non-pre-emptive and pre-emptive.

- | Non-pre-emptive  | pre-emptive  |
|--|--|
| *) once the CPU is given to a process it cannot be taken away from that process. | *) the CPU can be taken away from a running process.                               |
| *) cost is low.  | *) cost is high.   |
| *) Suitable for batch processing.  | *) Suitable for real time and interactive timesharing system.                      |
| *) scheduling is done once.  | *) Rescheduling is necessary.  |
| *) if an interrupt occurs, the process is terminated.                            | *) when an interrupt occurs, the process is temporarily suspended to resume later. |

The Dining - Philosopher problem

Solution to the Dining Philosopher problem using semaphores

- \*) Each chopstick should be represented by a semaphore
- \*) Each philosopher picks up the left chop stick first, and then the right chopstick (if right chopstick is used by the neighbour. The particular person has to wait (semaphore).
- \*) After eating, the philosopher releases the signal operation by releasing the chopsticks.
- \*) Here we use semaphore chopsticks (S)



```
wait(chopstick[i]); // left chopstick
wait(chopstick[(i+1)%5]); // right chopstick
eat(i);
signal(chopstick[(i+1)%5]);
signal(chopstick[i]);
think(i);
? while (True);
```

```
if (chopstick[0])
*) i=0 left
  (0+1)%5 = 1 right
*) i=1 left
  (1+1)%5 = 2 right
*) i=2 left
  (2+1)%5 = 3 right
```

```
Continued...
*) i=3 left
  (3+1)%5 = 4 right
*) i=4 left
  (4+1)%5 = 0 right
```



Q. b1, c1, d1. --- n1 +14B

in int a, int b (a) = 11 (b) = 13

### multiprocess scheduling:

there are 2 types

- 1) Homogeneous
- 2) Heterogeneous

(2<sup>nd</sup>) Homogeneous: - process are identical in terms of their functionality

Heterogeneous: - process are of different types approaches are of different types

- 1) Asymmetric multi processing: master server technique will be used.
- 2) Symmetric multi processing: self scheduling.

### Critical Section problem:

(consider a system of  $n$  process  $(P_0, P_1, \dots, P_n)$  Each process has a segment of code called a critical section. In which the process may be changing common variables, updating a record in a table, writing a file and so on.

When one process is executing in its critical section no other process is to be allowed to execute in its critical section.

(wait for the entry on critical section and gets a lock or semaphore).

entry section → [The critical part]

exit section →

remainder section →

while (true); (remove the lock from the semaphore & let others know that its critical section is over)

[rest of the section]

Resource allocation graph

nodes: process  $\circ$  Resource  $\square$

Arces: resource request - request edge  $\circ \rightarrow \square$

Resource allocated - assignment edge  $\square \rightarrow \circ$

$\rightarrow$  The graph consists of  $V$  vertices and  $E$  edges.  $V$  is partitioned into 2 types  $P$  and  $R$ .

$\rightarrow P = \{P_1, P_2, \dots, P_n\}$  set of all active processes

$\rightarrow R = \{R_1, R_2, \dots, R_m\}$  set of all resources.

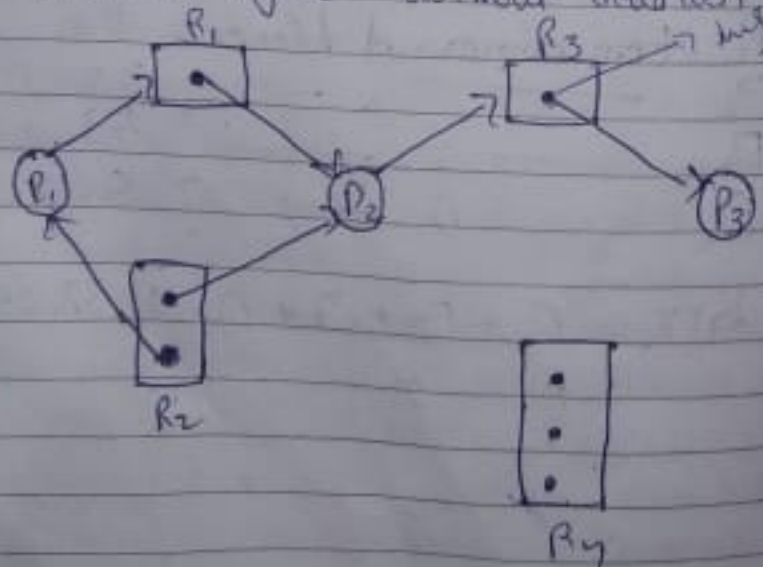
$\rightarrow P_i \rightarrow R_j$  means  $P_i$  request for the resource  $R_j$   
 $R_j$ : request edge

$\rightarrow R_j \rightarrow P_i$  = assignment edge

example

- a) without cycle without deadlock
- b) with cycle with deadlock.
- c) with cycle without deadlock.

a) without cycle without deadlock





## 2.4. Types of Semaphores

- 1) Binary Semaphore
- 2) Counting Semaphore

a) Binary Semaphore:- A Semaphore whose variable is allowed to take only 2 values of 0 (busy) and 1 (free) is called binary Semaphore. Binary Semaphores are known as mutual locks, as they provide mutual exclusion. They can be used to solve critical sections problem for multiple processes.

do

wait (mutex);

Critical section;

signal (mutex);

remainder section;

while (true);

b) Counting Semaphore:- Counting Semaphore can be used to control access to a given resource consisting of finite number of instances.

In process P1

S1;

signal (synch);

In process P2

wait (synch);

S2;

Initially synch = 0, P2 execute S2 only after P1 executing S1.

Overlay :- overlay means replacement of a block of stored instructions

ex:-

pass 1	70KB
pass 2	30KB
Symbol table	20KB
COMMON ROUTINES	30KB
Available memory	= 150KB

step 1:- Start and Complete pass 1

step 2:- Jumps to the overlay directly, send overlay B into memory overwriting overlay A

step 3:- Transfers control to pass 2

step 4:- completes pass 2.



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The set of process  $P$ , resource  $R$  and edges  $E$

- x)  $P = \{P_1, P_2, P_3\}$
- x)  $R = \{R_1, R_2, R_3, R_4\}$
- x)  $E = \{P_1 \rightarrow R_1, P_2 \rightarrow R_3, R_3 \rightarrow P_2, R_3 \rightarrow P_3, R_2 \rightarrow P_2, R_2 \rightarrow P_1\}$

The resource instances are:

- one instance for  $R_1$
- Two instance for  $R_2$
- one instance for  $R_3$

The process states are:

- $P_1$  is holding an instance of  $R_2$ , and is waiting for an instance of  $R_1$ .
- $P_2$  is holding an instance of  $R_2, R_1$  and is waiting for an instance of  $R_3$ .
- $P_3$  is holding an instance of  $R_3$ .

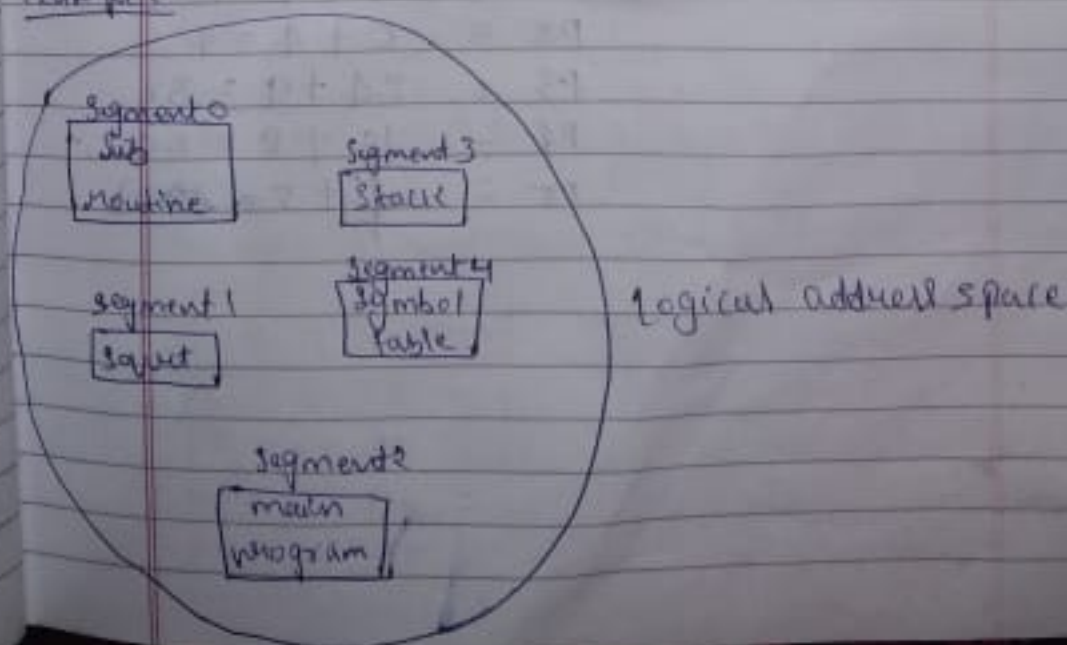
The resource allocation graph contains no cycle. There for there is no deadlock situation.

sm  
\* Deadlock: (non-terminating) <sup>is necessary</sup>

A deadlock is a situation in which each process in a set of ~~process~~ ~~in a~~ ~~set~~ ~~of~~ processes is waiting for an event that only another process in the set can cause.

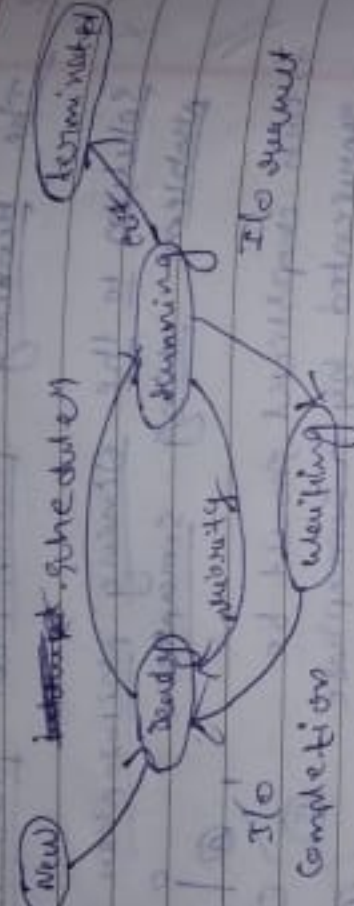
Segmentation:

- Sm
- \* A program is a collection of segments.
  - \* A segment is a logical unit such as main, program, procedure, function, method, object, local variable, global variable, common block, stack, symbol table, array.
  - \* Each segment is of different length element in the segment are identified by their offset from the beginning of the segment.
  - \* Each segment has a name and length.
  - \* logical address consists of 2 tuple  $\langle \text{segment number, offset} \rangle$
  - \* segment map table is created from each job dynamically.

Example



## 5. Different states of process



New  $\rightarrow$  process create which is on second memory and will put into main

After creation goes to ready state and we can say the process is ready. After ready state the process will go to running state and this period is known as schedulable.

If the process is completed then the process will be excited to terminate state. If the process need any I/O operation then the process will go to waiting state and after the completion of I/O operation it again goes to ready state and continue the process.

### Starvation -

When a ready leader computer system ~~continues~~ stream of high priority process can prevent the lower priority jobs scheduling into the CPU. This indefinite blocking for low priority process called starvation.

A solution to this problem can be done by the process called aging. Aging is a technique of gradually increasing the priority of a process.

assumptions

→ what are the necessary conditions for deadlock. mutual exclusion: mutual exclusion means only one process can use the resource at a time. if another process requests that resource the requesting process must wait until the resource has been released.

Hold and wait: A process must be holding at least one resource and waiting to acquire additional resource that are currently being held by other processes.

No pre-emption: Resources cannot be preempted, that is a resource can be released voluntarily by the process holding it, after it has completed its task. Resources cannot be forcibly taken from processes.

Circular wait: A set  $\{P_0, P_1, \dots, P_n\}$  of waiting processes must exist such that  $P_0$  is waiting for a resource held by  $P_1$ ,  $P_1$  is waiting for the resource held by  $P_2$  and  $P_{n-1}$  is waiting for the resource held by  $P_n$ .  $P_n$  is waiting for the resource held by  $P_0$ .

2m  
 x. Requirement of memory management

- x) Address binding
- x) Dynamic loading
- x) Dynamic linking
- x) logical and physical address space.
- x) overlays.

Example

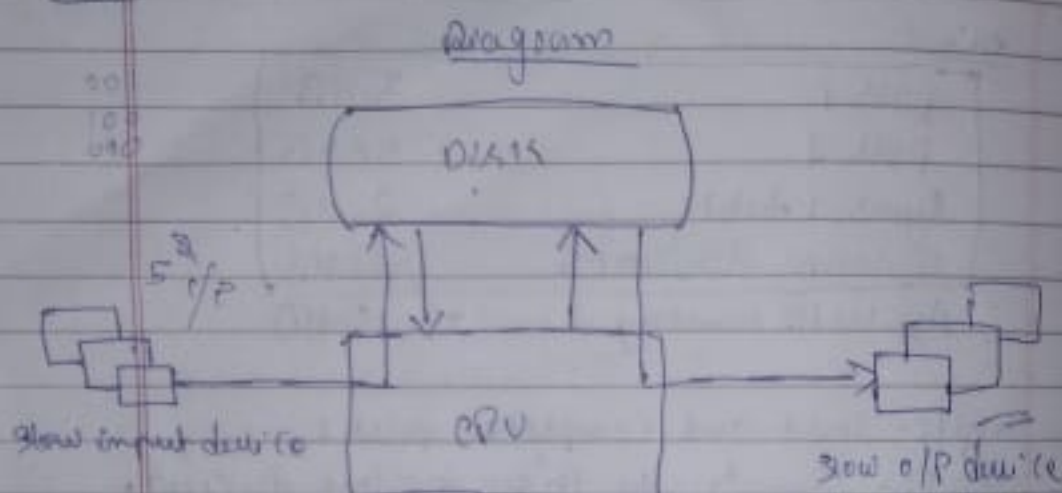
segment  
 sub  
 machine

segment  
 sub



Spooling with a diagram

Ans -



A spool is a buffer that holds output for a device such as a printer that cannot accept interleaved data streams.

→ Although a printer can sense only one job at a time, business applications may wish to print their O/P concurrently without having their O/P mixed together. The OS solves this problem by intercepting all O/P to the printer. Each application's O/P is spooled to a separate disk file.

~~First fit, Best fit, worst fit~~

→ First fit - Allocate the first hole that is big enough to hold the process.

\* Searching can start either at beginning or where the previous first fit search ended.

- Best fit - provide the smallest hole that is big enough
- \*) Entire list must be searched, unless list is ordered.
  - \*) provides smallest leftover hole.

- worst fit - Assigns the largest hole:
- \*) must also search entire list
  - \*) provides largest leftover hole.

Diagram





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Device management

- a) request device, release device
- x) send, write, reposition

Information maintenance

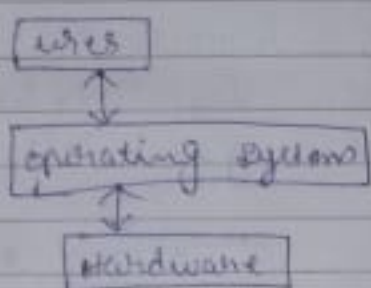
- x) get time, set time
- e) get system date, set system date.

Communications

- e) create, delete (communication) connection
- a) send, receive messages.

## Objective or Functions of OS

An operating system acts as an interface between the users and the hardware.



### → Objectives of OS

- 1) To control and coordinate the use of system resources like CPU time, memory, disk, etc.
- 2) To provide a convenient environment for a user to access the available resources.
- 3) To increase the productivity of processing resources.
- 4) To use the computer hardware in an efficient manner.

### Types of System Call

#### Process control

- 1) fork, abort
- 2) load, execute

#### File management

- 1) create file, delete file
- 2) open, close