

Summary

- Applications' View of a Relational Database Management System (RDBMS)
- Relational Algebra Overview
- SQL Overview
- Extended Relational Algebra/Equivalent SQL

Applications' View of an RDBMS System

(Web) Application

RDBMS Client

RDBMS

Server

Relational

Database

JDBC/ODBC

SQL commands

Relations,

cursors,

other...

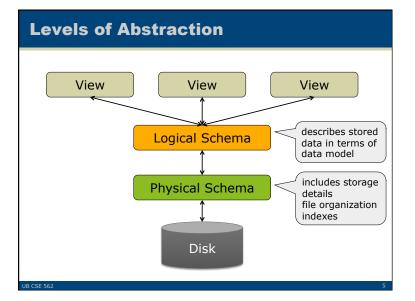
- Persistent data structure
 - Large volume of data
 - "Independent" from processes using the data
- High-level API
 - for access & modification
- Declarative, automatically optimizedTransaction management (ACID)
 - Atomicity: all or none happens,
 - despite failures & errors - Concurrency
 - Isolation: appearance of "one at a time"
 - Durability: recovery from failures and other errors

Data Structure: Relational Model

- Relational Databases: Schema + Data
- Schema:
 - collection of *tables* (also called *relations*)
 - each table has a set of attributes
 - no repeating relation names, no repeating attributes in one table
- **Data** (also called *instance*):
 - set of tuples
 - tuples have one value for each attribute of the table they belong

| Movie | | |
|-------|----------|--------|
| Title | Director | Actor |
| Wild | Lynch | Winger |
| Sky | Berto | Winger |
| Reds | Beatty | Beatty |
| Tango | Berto | Brando |
| Tango | Berto | Winger |
| Tango | Berto | Snyder |
| | | |

| Schedule | |
|----------|-------|
| Theater | Title |
| Odeon | Wild |
| Forum | Reds |
| Forum | Sky |



Programming Interface: JDBC/ODBC

- How client opens connection with a server
- · How access & modification commands are issued

Data Independence

• Physical Data Independence:

Applications are insulated from changes in physical storage details

- Logical Data Independence: Applications are insulated from changes to logical structure of the data
- Why are these properties important?
 - Reduce program maintenance
 - Logical database design changes over time
 - Physical database design tuned for performance

Access (Query) & Modification Language: SQL

• SQL

- used by the database user
- **declarative**: we only describe **what** we want to retrieve
- based on tuple relational calculus
- <u>The input to and the output of a query is always</u> <u>a table</u> (regardless of the query language used)
- Internal Equivalent of SQL: Relational Algebra

 used internally by the database system
 - procedural (operational): we describe how we retrieve

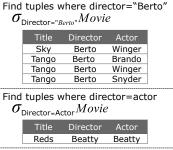
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Basic Relational Algebra Operators

• Selection (σ)

- $\sigma_c R$ selects tuples of the argument relation R that satisfy the condition c
- The condition c consists of atomic predicates of the form
 - attr = value (attr is an attribute of R)
 - $attr_1 = attr_2$
 - other operators possible
 (e.g. >, <, !=, LIKE)</pre>
- Bigger conditions constructed by conjunctions (AND) and disjunctions (OR) of atomic predicates

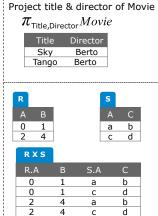


$\sigma_{\text{Director="Berto" OR Director=Actor}} Movie$ Winger Sky Berto Reds Beatty Beatty Brando Tango Berto Tango Berto Winger Berto Snyder Tango

Basic Relational Algebra Operators

• **Projection** (π)

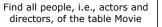
- $\pi_{\text{attr}_1,...,\text{attr}_N} R$ returns a table that has only the attributes $attr_1$, ..., $attr_N$ of R
- no duplicate tuples in the result (the example has only one <Tango,Berto> tuple
- Cartesian Product (x)
 - the schema of the result has all attributes of both *R* and *S*
 - for every tuple r ∈ R and s ∈ S, there is a result tuple that consists of r and s
 - if both *R* and *S* have an attribute *A*, then rename to *R*.*A* and *S*.*A*



Basic Relational Algebra Operations

• **Rename** (*ρ*)

- $\rho_{A \rightarrow B} R$ renames attribute A of relation R into B
- $\rho_s R$ renames relation R into S
- **Union** (∪)
 - applies to two tables R and S with same schema
 - $R \cup S$ is the set of tuples that are in R or S or both
- Difference (-)
 - applies to two tables R and S with same schema
 - R S is the set of tuples in R but not in S



- $\pi_{\text{People}} \rho_{\text{Actor} \rightarrow \text{People}} Movie$
- $\cup \pi_{\text{People}} \rho_{\text{Director} \rightarrow \text{People}} Movie$

. .. .

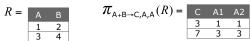
Find all directors who are not actors

 $\pi_{\text{Director}} Movie$

 $-\rho_{\text{Actor} \rightarrow \text{Director}} \pi_{\text{Actor}} Movie$

Other Relational Algebra Operations

- Extended Projection: Using the same π_LR operator, we allow the list L to contain arbitrary expressions involving attributes:
 - 1. Arithmetic on attributes, e.g., $A+B\rightarrow C$
 - 2. Duplicate occurrences of the same attribute
- Example



Other Relational Algebra Operations

- Theta-Join: R1 🖂 _c R2
 - Take the product R1 X R2
 - Then apply $\,\sigma_{\rm c}$ to the result
- As for σ_{i} c can be any boolean-valued condition
 - Historic versions of this operator allowed only A θ B, where θ is =, <, etc.; hence the name "theta-join"
- Example: *Movie* Movie_Title=Schedule_Title Schedule

| Movie.Title | Director | Actor | Schedule.Title | Theater |
|-------------|----------|--------|----------------|---------|
| Wild | Lynch | Winger | Wild | Odeon |
| Sky | Berto | Winger | Sky | Forum |
| Reds | Beatty | Beatty | Reds | Forum |

Other Relational Algebra Operations

- Natural Join: R1 🖂 R2
 - A useful join variant connects two relations by:
 - Equating attributes of the same name, and
 - Projecting out one copy of each pair of equated attributes
- Example: *Movie* 🖂 *Schedule*

| Title | Director | Actor | Theater |
|-------|----------|--------|---------|
| Wild | Lynch | Winger | Odeon |
| Sky | Berto | Winger | Forum |
| Reds | Beatty | Beatty | Forum |

Building Complex Expressions

- Algebras allow us to express sequences of operations in a natural way
 - Example: in arithmetic $(x + 4)^*(y 3)$
- Relational algebra allows the same
- Three notations, just as in arithmetic:
 - 1. Sequences of assignment statements
 - 2. Expressions with several operators
 - 3. Expression trees

Sequences of Assignments

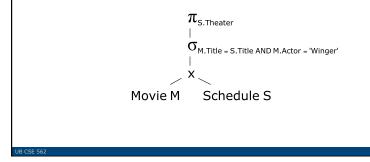
- Create temporary relation names
- Renaming can be implied by giving relations a list of attributes
- Example: R3 := R1 ⋈_C R2 can be written: R4 := R1 X R2 R3 := σ_c(R4)

Expressions in a Single Assignment

- Example: the theta-join R3 := R1 \bowtie_C R2 can be written: R3 := σ_c (R1 X R2)
- Precedence of relational operators:
 - 1. Unary operators select, project, rename have highest precedence, bind first
 - 2. Then come products and joins
 - 3. Then intersection
 - 4. Finally, union and set difference bind last
- But you can always insert parentheses to force the order you desire

Expression Trees

- Leaves are operands either variables standing for relations or particular, constant relations
- Interior nodes are operators, applied to their child or children



Schemas for Interior Nodes

- An expression tree defines a schema for the relation associated with each interior node
- Similarly, a sequence of assignments defines a schema for each relation on the left of the := sign

Schema-Defining Rules 1

- For **union**, **intersection**, and **difference**, the schemas of the two operands must be the same, so use that schema for the result
- **Selection**: schema of the result is the same as the schema of the operand
- **Projection**: list of attributes tells us the schema

Schema-Defining Rules 2

- **Product**: the schema is the attributes of both relations
 - Use R.A, etc., to distinguish two attributes named A
- **Theta-join**: same as product
- **Natural join**: use attributes of both relations - Shared attribute names are merged
- Renaming: the operator tells the schema

Relational Algebra on Bags

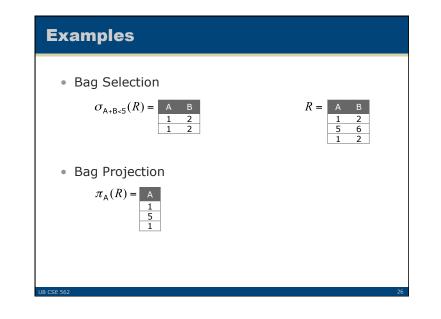
- A *bag* is like a set, but an element may appear more than once
 - Multiset is another name for "bag"
- Example:
 - {1,2,1,3} is a bag
 - {1,2,3} is also a bag that happens to be a set
- Bags also resemble lists, but order in a bag is unimportant
- Example: {1,2,1} = {1,1,2} as bags, but [1,2,1] != [1,1,2] as lists

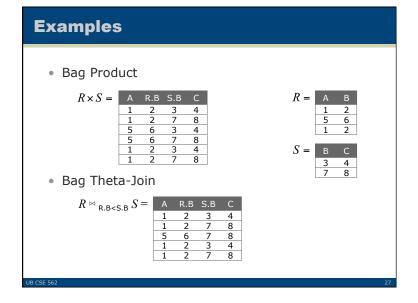
Why Bags?

- SQL, the most important query language for relational databases is actually a bag language
 - SQL will eliminate duplicates, but usually only if you ask it to do so explicitly
- Some operations, like projection, are much more efficient on bags than sets

Operations on Bags

- **Selection** applies to each tuple, so its effect on bags is like its effect on sets
- **Projection** also applies to each tuple, but as a bag operator, we do not eliminate duplicates
- Products and joins are done on each pair of tuples, so duplicates in bags have no effect on how we operate





Bag Union

- Union, intersection, and difference need new definitions for bags
- An element appears in the **union** of two bags the sum of the number of times it appears in each bag
- Example:

 $\{1,2,1\} \cup \{1,1,2,3,1\} = \{1,1,1,1,1,2,2,3\}$

Bag Intersection

- An element appears in the intersection of two bags the minimum of the number of times it appears in either
- Example: $\{1,2,1\} \cap \{1,2,3\} = \{1,2\}$

Beware: Bag Laws != Set Laws

- Not all algebraic laws that hold for sets also hold for bags
- Example: the commutative law for union *does* hold for bags $(R \cup S = S \cup R)$
 - Since addition is commutative, adding the number of times x appears in R and S doesn't depend on the order of R and S

Bag Difference

 An element appears in the difference A – B of bags as many times as it appears in A, minus the number of times it appears in B

– But never less than 0 times

• Example: $\{1,2,1\} - \{1,2,3\} = \{1\}$ $\{1,2,1\} - \{1,1,1\} = \{2\}$

An Example of Inequivalence

- Set union is *idempotent*, meaning that $S \cup S = S$
- However, for bags, if x appears n times in S, then it appears 2n times in S ∪ S
- Thus $S \cup S != S$ in general

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SQL Queries: The Basic From

- Basic form
 SELECT a₁,...,a_N

 FROM R₁,...,R_M
 [WHERE condition]
 Equivalent relational
- algebra expression

$\pi_{a_1,...,a_N}\sigma_{\text{condition}}(R_1 \times ... \times R_M)$

- WHERE clause is optional
- When more than one relations in the FROM clause have an attribute named A, we refer to a specific A attribute as <RelationName>.A

Find the titles of all movies by "Berto" SELECT Title FROM Schedule WHERE Director="Berto"

Find titles of currently playing movies

SELECT Title

FROM Schedule

Find the titles and the directors of all

currently playing movies

SELECT Movie.Title, Director FROM Movie, Schedule WHERE Movie.Title=Schedule.Title

SQL Queries: Aliases

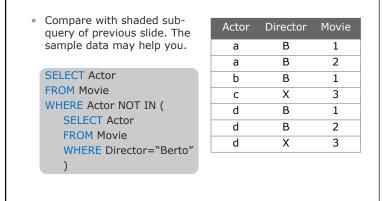
- Use the same relation more than once in the FROM clause
- Tuple variables
- Example: Find actors who are also directors

SELECT t.Actor FROM Movie t, Movie s WHERE t.Actor=s.Director

SQL Queries: Nesting Typical use: "Find objects that always • The WHERE clause can satisfy property X", e.g., find actors contain predicates of the playing in every movie by "Berto": form SELECT Actor - attr/value IN <query> **FROM** Movie - attr/value NOT IN WHERE Actor NOT IN (<query> SELECT t.Actor • The predicate is satisfied FROM Movie t, Movie s WHERE s.Director="Berto" if the attr or value AND t.Actor NOT IN (appears in the result of SELECT Actor the nested <query> FROM Movie Queries involving nesting WHERE Title=s.Title but no negation can always be un-nested, unlike aueries with The shaded query finds actors NOT nesting and negation playing in some movie by "Berto". The top lines complement the shaded part.

Homework Problem

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Nested Queries: Existential Quantification Find directors of currently • A op **ANY** <nested query> playing movies is satisfied if *there is* a value X in the result of the SELECT Director FROM Movie <*nested query* > and the WHERE Title = **ANY** condition A op X is SELECT Title satisfied FROM Schedule -(=ANY) = IN- But, (**≠ ANY**) **≠ NOT IN**

| Nested Queries: Universal Quantification | | | | |
|--|---------------|--|--|--|
| A op ALL <nested query=""> is satisfied if for every value X in the result of the <nested query=""> the condition A op X is satisfied</nested></nested> (≠ ALL) = NOT IN But, (= ALL) ≠ IN | <text></text> | | | |
| | | | | |

Nested Queries: Set Comparison

<nested query 1> CONTAINS <nested query 2> Find actors playing in every movie by "Berto"

SELECT s.Actor FROM Movie s WHERE (SELECT Title FROM Movie t WHERE t.Actor = s.Actor) CONTAINS (SELECT Title FROM Movie WHERE Director = "Berto")

SQL: Union, Intersection, Difference

| Union <pre> <sql 1="" query=""> UNION <sql 2="" query=""> </sql></sql></pre> Intersection <sql 1="" query=""> </sql> | Find all actors or directors (SELECT Actor FROM Movie) UNION (SELECT Director FROM Movie) |
|--|---|
| INTERSECT <sql 2="" query=""></sql> | Find all actors who are not directors |
| • Difference <sql 1="" query=""> MINUS <sql 2="" query=""></sql></sql> | (SELECT Actor FROM Movie) MINUS (SELECT Director FROM Movie) |

NULL Values

- Tuples in SQL relations can have NULL as a value for one or more components
- Meaning depends on context. Two common cases:
 - **Missing value**: e.g., we know movie Tango has a third actor, but we don't know who she/he is

| Title | Director | Actor |
|-------|----------|--------|
| Tango | Berto | Brando |
| Tango | Berto | Winger |
| Tango | Berto | NULL |

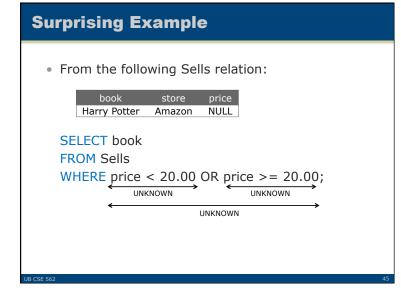
- **Inapplicable**: e.g., the value of attribute **spouse** for an unmarried person

Comparing NULL's to Values

- The logic of conditions in SQL is really 3-valued logic: TRUE, FALSE, UNKNOWN
- Comparing any value (including NULL itself) with NULL yields UNKNOWN
- A tuple is in a query answer iff the WHERE clause is TRUE (not FALSE or UNKNOWN)

Three-Valued Logic

- To understand how AND, OR, and NOT work in 3-valued logic, think of TRUE = 1, FALSE = 0, and UNKNOWN = $\frac{1}{2}$
- AND = MIN; OR = MAX, NOT(x) = 1-x
- Example: TRUE AND (FALSE OR NOT(UNKNOWN)) = MIN(1, MAX(0, (1 - ¹/₂))) = MIN(1, MAX(0, ¹/₂)) = MIN(1, ¹/₂) = ¹/₂



Reason:

2-Valued Laws != 3-Valued Laws

- Some common laws, like commutativity of AND, hold in 3-valued logic
- But not others, e.g., the law of the excluded middle: p OR NOT p = TRUE
 - When p = UNKNOWN, the left side is MAX($\frac{1}{2}$, $(1 \frac{1}{2})$) = $\frac{1}{2}$!= 1

Bag Semantics

- Although the SELECT-FROM-WHERE statement uses bag semantics, the default for union, intersection, and difference is set semantics
 - That is, duplicates are eliminated as the operation is applied

Motivation: Efficiency

- When doing projection, it is easier to avoid eliminating duplicates
 - Just work tuple-at-a-time
- For intersection or difference, it is most efficient to sort the relations first
 - At that point you may as well eliminate the duplicates anyway

Controlling Duplicate Elimination

- Force the result to be a set by SELECT DISTINCT ...
- Force the result to be a bag (i.e., don't eliminate duplicates) by ALL, as in ... UNION ALL ...

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The Extended Algebra

- δ = eliminate duplicates from bags
- T =sort tuples
- Y = grouping and aggregation

Duplicate Elimination

- R1 := δ(R2)
- R1 consists of one copy of each tuple that appears in R2 one or more times
- Example: R = A B 1 2 3 4 1 2



Sorting

- R1 := τ_L (R2)
 - L is a list of some of the attributes of R2
- R1 is the list of tuples of R2 sorted first on the value of the first attribute on *L*, then on the second attribute of *L*, and so on
 - Break ties arbitrarily
- τ is the only operator whose result is neither a set nor a bag

Aggregation Operators

- Aggregation operators are not operators of relational algebra
- Rather, they apply to entire columns of a table and produce a single result
- The most important examples: SUM, AVG, COUNT, MIN, and MAX
- Example: R = A B



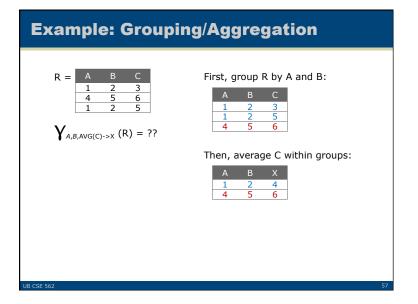
COUNT(A) = 3MAX(B) = 4 AVG(B) = 3

Grouping Operator

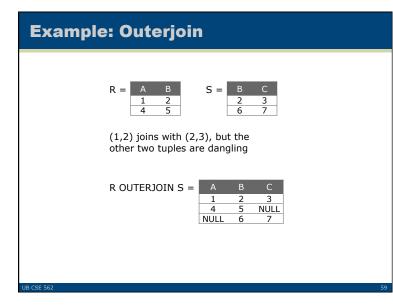
- R1 := Y_L (R2)
 - *L* is a list of elements that are either:
 - 1. Individual (grouping) attributes
 - 2. AGG(A), where AGG is one of the aggregation operators and A is an attribute
 - An arrow and a new attribute name renames the component

Applying $Y_{L}(R)$

- Group *R* according to all the grouping attributes on list *L*
 - That is: form one group for each distinct list of values for those attributes in *R*
- Within each group, compute AGG(A) for each aggregation on list *L*
- Result has one tuple for each group: 1. The grouping attributes and
 - 2. Their group's aggregations



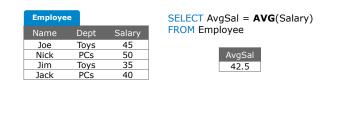
Outerjoin Suppose we join *R* ⋈_c *S*A tuple of *R* that has no tuple of *S* with which it joins is said to be **dangling**Similarly for a tuple of *S*Outerjoin preserves dangling tuples by padding them with NULLs



SQL Outerjoins 9. Couter JOIN S is the core of an outerjoin expression 9. Optional NATURAL in front of OUTER Only on of these 9. Optional ON < condition > after JOIN 9. Optional LEFT, RIGHT, or FULL before OUTER 9. LEFT = pad dangling tuples of S only 9. FULL = pad both; this choice is the default

SQL Aggregations

- SUM, AVG, COUNT, MIN, and MAX can be applied to a column in a SELECT clause to produce that aggregation on the column
- Also, COUNT(*) counts the number of tuples
- **Example**: Find the average salary of all employees



Eliminating Duplicates in a SQL Aggregation

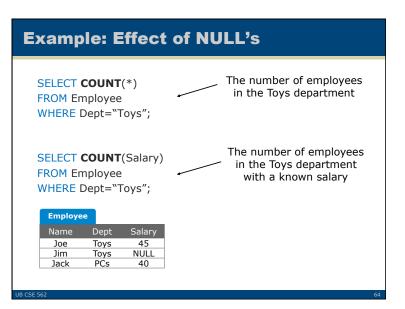
- Use DISTINCT inside an aggregation
- **Example**: Find the number of *different* departments:

SELECT COUNT(DISTINCT Dept) FROM Employee

| Employee | e | |
|----------|------|--------|
| Name | Dept | Salary |
| Joe | Toys | 45 |
| Nick | PCs | 50 |
| Jim | Toys | 35 |
| Jack | PCs | 40 |

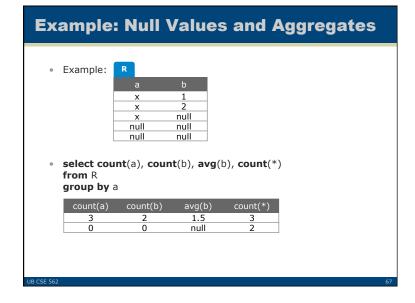
NULL's Ignored in SQL Aggregation

- NULL never contributes to a sum, average, or count, and can never be the minimum or maximum of a column
- But if there are no non-NULL values in a column, then the result of the aggregation is NULL
 - Exception: COUNT of an empty set is 0



SQL Grouping

- We may follow a SELECT-FROM-WHERE expression by GROUP BY and a list of attributes
- The relation that results from the SELECT-FROM-WHERE is grouped according to the values of all those attributes, and any aggregation is applied only within each group



Example: SQL Grouping

Find the average salary for each department:

| SELECT Dept, AvgSal = AVG (Salary) FROM Employee GROUP BY Dept | | | | | Dept Toys PCs | |
|--|-------------|-------------|----------|---|---------------------|--|
| | Employee | | | | | |
| | Name | Dept | Salary | | | |
| | Joe Nick | Toys PCs | 45 50 | - | | |

35 40 AvgSal 40 45

Restriction on SELECT Lists With Aggregation

- If any aggregation is used, then each element of the SELECT list must be either:
 - 1. Aggregated, or

Jim Jack Toys PCs

2. An attribute on the GROUP BY list

• **Example**: You might think you could find the department with the highest salary by:

SELECT Dept, MaxSal = MAX(Salary) FROM Employee

But this query is illegal in SQL

SQL HAVING Clauses

- HAVING <condition> may follow a GROUP BY clause
- If so, the condition applies to each group, and groups not satisfying the condition are eliminated

Example: SQL HAVING

• **Example**: Find the average salary of for each department that has either more than 1 employee or starts with a "To":

SELECT Dept, AvgSal=(AVG(Salary)) FROM Employee GROUP BY Dept HAVING COUNT(Name) > 1 OR Dept LIKE "To"

Requirements on HAVING Conditions

- Conditions may refer to attributes only if they are either:
 - 1. A grouping attribute, or
 - 2. Aggregated
 - (same condition as for SELECT clauses with aggregation)

Summary of SQL Queries

- A query in SQL can consist of up to six clauses, but only the first two, SELECT and FROM, are mandatory.
- The clauses are specified in the following order:

| SELECT | <attribute list=""></attribute> |
|-----------|--|
| FROM | |
| [WHERE | <condition>]</condition> |
| [GROUP BY | <grouping attribute(s)="">]</grouping> |
| [HAVING | <proup condition="">]</proup> |
| ORDER BY | <attribute list="">]</attribute> |

Summary of SQL Queries (cont'd)

- The SELECT-clause lists the attributes or functions to be retrieved
- The FROM-clause specifies all relations (or aliases) needed in the query but not those needed in nested queries
- The WHERE-clause specifies the conditions for selection and join of tuples from the relations specified in the FROM-clause
- GROUP BY specifies grouping attributes
- HAVING specifies a condition for selection of groups
- ORDER BY specifies an order for displaying the result of a query
- A query is evaluated by first applying the WHERE-clause, then GROUP BY and HAVING, and finally the SELECTclause

Recursion in SQL

- SQL:1999 permits recursive queries
- Example: find all employee-manager pairs, where the employee reports to the manager directly or indirectly (that is manager's manager, manager, manager's manager, etc.)

WITH RECURSIVE *empl*(employee_name, manager_name) AS (SELECT employee_name, manager_name

FROM manager

UNION

SELECT manager.employee_name, empl.manager_name FROM manager, empl

WHERE manager.manager_name = *empl*.employe_name) SELECT *

FROM empl

• This example query computes the *transitive closure* of the manager relation

The Power of Recursion

- Recursive queries make it possible to write queries, such as transitive closure queries, that cannot be written without recursion or iteration
 - Intuition: Without recursion, a non-recursive noniterative program can perform only a fixed number of joins of manager with itself
 - This can give only a fixed number of levels of managers
 - Given a program we can construct a database with a greater number of levels of managers on which the program will not work

The Power of Recursion (cont'd)

- Computing transitive closure
 - The next slide shows a manager relation and finds all employees managed by "Jones"
 - Each step of the iterative process constructs an extended version of *empl* from its recursive definition
 - The final result is called the *fixed point* of the recursive definition

Example of Fixed-Point Computation

| employee_name | manager_name |
|---------------|--------------|
| Alon | Barinsky |
| Barinsky | Estovar |
| Corbin | Duarte |
| Duarte | Jones |
| Estovar | Jones |
| Jones | Klinger |
| Rensal | Klinger |

| Iteration number | Tuples in empl |
|------------------|---|
| 0 | |
| 1 | (Duarte), (Estovar) |
| 2 | (Duarte), (Estovar), (Barinsky), (Corbin) |
| 3 | (Duarte), (Estovar), (Barinsky), (Corbin), (Alon) |
| 4 | (Duarte), (Estovar), (Barinsky), (Corbin), (Alon) |

The Power of Recursion (cont'd)

- Recursive queries are required to be **monotonic**, that is, if we add tuples to manager the query result contains all of the tuples it contained before, plus possibly more
- Example:

SELECT AvgSal = **AVG**(Salary)

FROM Employee

is not monotone in Employee

 Inserting a tuple into Employee usually changes the average salary and thus deletes the old average salary

SQL as a Data Manipulation Language: Insertions

| Inserting tuples INSERT INTO R VALUES (v ₁ ,,v _k); • some values may be left NULL • use results of queries for insertion INSERT INTO R SELECT FROM WHERE | INSERT INTO Movie VALUES ("Brave", "Gibson", "Gibson"); INSERT INTO Movie(Title,Director) VALUES ("Brave", "Gibson"); INSERT INTO EuroMovie SELECT * FROM Movie WHERE Director = "Berto" |
|---|---|
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SQL as a Data Manipulation Language: Deletions

| | Deletion basic form: delete every tuple that satisfies <cond></cond> DELETE FROM R WHERE <cond></cond> | Delete the movies that are not currently playing DELETE FROM Movie WHERE Title NOT IN SELECT Title FROM Schedule | |
|--|---|--|--|
|--|---|--|--|

| SQL as a Data Man Updates | ipulation Language: Change all "Berto" entries to "Bertoluci" UPDATE Movie SET Director="Bertoluci" WHERE Director="Berto" Increase all salaries in the Toys dept by 10% UPDATE Employee SET Salary = 1.1 * Salary WHERE Dept = "Toys" The "rich get richer" exercise: Increase by 10% the salary of the employee with the highest salary |
|------------------------------|--|
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This Time

- Relational Algebra

 - Chapter 2: 2.4
 Chapter 5: 5.1, 5.2
- SQL

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- Chapter 6
- Chapter 10: 10.2